

1 **Relationship between pace of life and immune responses in wild rodents**

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19 **Abstract**

20 Life histories of animals tend to vary along a slow to fast continuum. Those with fast life  
21 histories have shorter life spans, faster development, and higher reproductive rates relative to  
22 animals with slower life histories. These differences in life histories have been linked to  
23 differences in investment in immunological defenses. Animals with faster life histories are  
24 predicted to invest relatively more in innate immune responses, which include rapidly-  
25 deployed, non-specific defenses against a broad spectrum of invaders. On the other hand,  
26 animals with slower life histories are predicted to invest relatively more in adaptive immune  
27 responses, which are more slowly-deployed and are highly pathogen-specific. These predictions  
28 have been confirmed in some taxa, but other studies have not found this association. We  
29 tested this prediction by measuring innate and adaptive immunity of white-footed mice  
30 (*Peromyscus leucopus*), chipmunks (*Tamias striatus*), and gray squirrels (*Sciurus carolinensis*),  
31 three species of rodents that inhabit deciduous forests in the northeastern US. These species  
32 exhibit a range of life histories, with mice having a relatively fast life history, squirrels a  
33 relatively slow one, and chipmunks an intermediate one. We found mice to have the greatest  
34 “bacterial killing capacity”, a common measure of innate immunity, and squirrels the lowest,  
35 consistent with the pace-of-life immune-defense hypothesis. We also found squirrels to mount  
36 the most pronounced antibody response when challenged with lipopolysaccharide (LPS), an  
37 immunogenic component of bacteria, while mice had the lowest, again consistent with  
38 predictions based on their life histories. These results have implications beyond  
39 ecoimmunology because the probability that a host species will transmit an infection – its  
40 “reservoir competence” – has been linked to its immune strategy. Understanding the

41 relationship between immunology and reservoir competence is a critical frontier in the ecology  
42 of infectious diseases.  
43

## 44 INTRODUCTION

45           The immune system plays a critical role in prolonging survival by defending against  
46 pathogens and cancer cells. However, there is extensive evidence showing that immune  
47 defenses are costly, requiring investment of energy, nutrients, and time during the  
48 development, maintenance, and use of the immune system (Klasing and Leshchinsky 1999,  
49 Lochmiller and Deerenberg 2000). When resources are limited, allocation of energy to immune  
50 defenses may be modulated by the need to spend energy on other functions such as growth,  
51 reproduction, and maintenance (Nelson and Demas 1996). Stressors other than resource  
52 scarcity can also reduce allocation to immune functions (Martin 2009). Reproductive activities  
53 are a good example; the combination of breeding stress and energy investment in reproduction  
54 is typically associated with a reduction in immune responses (e.g., Nordling et al. 1998, Ardia et  
55 al. 2003). Immune function and parasites have also been shown to mediate trade-offs between  
56 reproductive effort and survival (Mills et al. 2010).

57           Further complicating the trade-offs between immunity and other energetically  
58 demanding processes are the costs and benefits of the different types of immune responses  
59 (Lee 2006). The vertebrate immune system can be divided into two branches: the innate and  
60 the adaptive. Under most circumstances, these two branches act in concert to generate a highly  
61 integrated defense system. But the benefits provided by these two components of immunity  
62 can vary depending on the type of pathogens that the animal is confronting, the need for a  
63 rapid response, and the likelihood of future exposures to the same pathogen.

64           Innate immune responses are relatively rapid, non-specific defenses that act as a first-  
65 line of defense against invading pathogens (Sompayrac 2008). Innate immunity includes a  
66 constitutive component made of cells and antimicrobial proteins that are present at low levels  
67 at all times. The metabolic costs of development, maintenance, and use of innate immune  
68 defenses are thought to be relatively low (Lee 2006), but immunopathology costs, due to  
69 collateral damage, can be substantial (Råberg et al. 1998).

70           A second-line of defense is provided by adaptive immune responses, which are typically  
71 slower and highly pathogen-specific. B-cells and T-helper cells (Th2) mediate adaptive immune  
72 responses involving antibodies, which provide effective defense and immunological memory  
73 against pathogens (Sompayrac 2008). Although the energetic cost of operating this type of  
74 immunity is thought to be relatively low (Råberg et al. 2002, Lee 2006, Martin et al. 2008a), the  
75 developmental costs are believed to be high due to the time and energy invested during  
76 lymphocyte proliferation and diversification (Ricklefs 1992). Consequently, a large investment  
77 in adaptive immunity is expected only when the fitness consequences of enhanced protection  
78 against repeated infections can outweigh those developmental costs. A long-lived animal is  
79 likely to face repeated exposures to the same pathogen and to prioritize self-maintenance over  
80 short-term reproductive output. Thus, the optimal strategy for these animals would be to  
81 allocate more of their limited resources to adaptive immunity.

82           Considering these differences in costs, we expect an association between the  
83 organization of the immune system and certain life-history traits. Life history variation among  
84 species can be ordered along a slow to fast continuum (Promislow and Harvey 1990, Ricklefs

85 and Wikelski 2002, but see Bielby et al. 2007). Under this paradigm, slow-living species with  
86 long life spans, high investment per offspring and in future reproduction, should rely more on  
87 adaptive immunity, whereas fast-living species with short life spans, fast development, and high  
88 reproductive rates should instead favor innate immunity. Although over a dozen years have  
89 passed since the idea of a relationship between pace of life and immune strategies was first  
90 proposed (Klasing and Leshchinsky 1999, Lochmiller and Deerenberg 2000), few studies have  
91 investigated this association. Early studies were mostly conducted in birds (e.g., Martin et al.  
92 2001, Tella et al. 2002, Tieleman et al. 2005, Martin et al. 2006). Then, in 2006, Lee proposed  
93 the relationship between pace of life and differential immune investment as a “working  
94 framework”, and a few additional studies have since adopted this framework. But, while some  
95 have found support for some of the predictions of the model (e.g., Martin et al. 2007, 2008b,  
96 Sparkman and Palacios 2009), others have found the reverse pattern (e.g., Cutrera et al. 2010).  
97 Thus, even though the basic premise is intuitively appealing, the hypothesis that life history  
98 shapes the immunological responses of animals remains controversial.

99         To evaluate the pace of life hypothesis we measured both innate and adaptive immunity  
100 in members of a community of rodents inhabiting mixed-hardwood forest of the northeastern  
101 United States. We sampled several rodent species that differ in their pace of life, and predicted  
102 that slow-living species would rely more on adaptive immunity that will protect them from  
103 repeated pathogen exposure and thus should display a strong antibody response when  
104 challenged with an antigen. At the same time, we predicted that fast-living species would rely  
105 more on innate defenses, such as a high bactericidal activity of plasma proteins and phagocytic  
106 cells.

107           Knowing the immune strategies of the various rodent species examined is of particular  
108 interest because they are important hosts for *Borrelia burgdorferi*, the bacterium that causes  
109 Lyme disease (Ostfeld 2011), but they differ markedly in their “reservoir competence”, the  
110 probability that they will transmit the bacterium (LoGiudice et al. 2003). This variation in  
111 reservoir competence has been hypothesized to be a consequence of variation in immune  
112 strategy (Kurtenbach et al. 2002, 2006, Keesing et al. 2010); however this hypothesis has not  
113 previously been tested.

114           Allocation of resources to different life history components, including immunity,  
115 typically differs among male and female mammals due to sex-specific differences in  
116 reproductive investment and timing (Bateman's principle; Rolff 2002, Nunn et al. 2009). We  
117 therefore noted the sex of each host individual, to be included as a covariate in our analyses.  
118 Finally, it has been well established that stress can either enhance or suppress immune function  
119 in vertebrates (Martin 2009). Thus, we investigated the potential modulatory effects of stress  
120 hormones (glucocorticoids; GCs) on the immune responses of the individuals, and anticipated  
121 that GCs relationships with immune measures would vary by species, since they may differ in  
122 their sensitivity to stressors.

## 123 **METHODS**

### 124 **Animal trapping and husbandry**

125           Animals were captured at the Cary institute of Ecosystem Studies, Millbrook, NY, USA  
126 (41°50' N, 73°45' W). Trapping took place during five weeks in the fall, from mid September

127 until the 22<sup>nd</sup> of October of 2009. White-footed mice (*Peromyscus leucopus*) and eastern  
128 chipmunks (*Tamias striatus*) were trapped using Sherman live-traps (23 x 9 x 8 cm; HB Sherman  
129 Inc., Tallahassee, FL, USA) baited with oats and covered with a board. Traps were set in the  
130 evening and checked and closed in the morning. Captured individuals were marked with a  
131 metal ear tag and visually checked for sex and reproductive status of females (pregnant /  
132 lactating). Chipmunks, southern flying squirrels (*Glaucomys volans*), red squirrels (*Tamiasciurus*  
133 *hudsonicus*), and eastern gray squirrels (*Sciurus carolinensis*) were captured in small Tomahawk  
134 live traps (41 x 13 x 13 cm; Tomahawk Live Trap Company, Tomahawk, WI, USA) set on trees  
135 and medium traps (48 x 15 x 15 cm) set on the ground. Each trap was sheltered with a board  
136 and outfitted with a nest box built out of milk cartons containing cotton and bait. For bait we  
137 used a mixture of molasses, peanut butter, raisins, and rolled oats. Traps were set in the  
138 evening and checked in the morning and afternoon. Woodchucks (*Marmota monax*) were  
139 trapped using larger Tomahawk live traps (Model 205, 66 x 23 x 23 cm). Traps were also  
140 covered with boards and baited with apples, carrots, broccoli, and greens. Woodchuck traps  
141 were set in the morning and checked in the afternoon. Animals were used only once in the  
142 study and recaptured animals were released at their point of capture. In order to avoid  
143 introducing confounders due to age and reproductive condition, and to minimize the impact on  
144 pregnancies and nursing young, juveniles and females showing signs of lactation or pregnancy  
145 were released without processing. All other captured animals were immediately transported in  
146 their trap to the animal rearing facility located on site (within 4 km of any of the trapping  
147 locations). Animals were housed in individual cages matched in size to their body sizes. Mice  
148 were held in wire mesh cages (20 x 18 x 52 cm) and provided with cotton and cardboard nest



149 boxes and tubes. Squirrels and chipmunks were housed in wire mesh rack cages (61 x 35.5 x 91  
150 cm) and woodchucks in crates (76 x 48 x 56 cm) containing a wooden nest box. The animals  
151 were on a natural light cycle and provided twice a day with *ad libitum* food and water. Diets fed  
152 varied by species and were designed to mirror some food items that animals were likely to  
153 encounter in their environment. Mice were offered apples, sunflower seeds, peanuts in their  
154 shells, rodent lab blocks, and raisins. Chipmunks and gray and red squirrels, we fed apples,  
155 sunflower seeds, peanuts in their shells, rodent lab blocks, and walnuts in their shells. Flying  
156 squirrels also were offered mushrooms. Woodchucks were fed alfalfa pellets, broccoli, carrots,  
157 lettuce, and freshly collected clover, dandelion, and plantain leaves.

#### 158 **Animal manipulation**

159 On day 0, each animal was transferred from its trap to a canvas restraint cone updated  
160 from Kowprosky (2002) and anesthetized using Isoflurane (Minrad Inc., Bethlehem, PA, USA)  
161 delivered by a 200-4000cc flow meter vaporizer (ACV-1227, Kent Scientific, Torrington, CT,  
162 USA). Animals were induced via facemask with 5% Isoflurane (5 l/min 100% O<sub>2</sub>). Once fully  
163 anesthetized (1-4 min after induction), the percentage of Isoflurane was reduced to 3.5 or  
164 lower for the remainder of the anesthetic period. While under anesthesia, animals were  
165 individually marked with numbered metal ear tags (1005-1 self-piercing monel tags, National  
166 Band and Tag Co., Newport, KY, USA), and were weighed, sexed and inspected for overall health  
167 and reproductive condition. If this closer examination revealed signs of lactation or pregnancy,  
168 or extremely poor body condition the animal was returned to its trapping location without  
169 further processing immediately after recovery from anesthesia.

170 In anesthetized mice, a blood sample was collected from the retro-orbital sinus using  
171 sterile heparinized microcapillary tubes (max. 1 capillary tube ~70  $\mu$ L). The other species were  
172 bled from the lateral or medial saphenous veins via venipuncture using a 25 to 30 gauge needle  
173 (approx. volume collected: 200-300 $\mu$ L; Hoff 2000). Blood was collected into heparinized  
174 capillary blood collection tubes (Safe-t-fill 076101 and 077221, Ram Scientific Inc., Yonkers, NY,  
175 USA) and samples were kept cool on ice until used in the lab.

176 After blood collection, we administered 0.5 mg/kg lipopolysaccharide (LPS) isolated  
177 from the cell wall of *Escherichia coli* (stereotype 026:B6, Sigma-Aldrich Co., St. Louis, MO, USA)  
178 dissolved in sterile 0.9% NaCl saline (Abbott Laboratories, North Chicago, IL, USA) via  
179 intraperitoneal injection. The animals were monitored carefully during and after their recovery  
180 from anesthesia.

181 On day 4 and 7 we anesthetized, weighed, and drew blood from the animals as  
182 described for day 0. We alternated eyes / limbs in successive bleeds to provide time for healing  
183 of previously accessed vessels. On day 7, to avoid re-sampling the retro-orbital sinus in animals  
184 previously bled in this manner, blood was collected via submandibular puncture in mice. On day  
185 7, animals were returned to their point of capture after fully recovering from the anesthesia. All  
186 animal procedures were supervised by a veterinarian, conducted in accordance with the  
187 guidelines approved by the American Society of Mammalogists (Sikes et al. 2011), and  
188 approved by the Institutional Animal Care and Use Committee at the Cary Institute (Protocol  
189 09-031).

## 190 **Measures of response to immunological challenges**

191 As a measure of innate immunity, we quantified bacterial killing capacity (BKC) of whole  
192 blood, which represents the integrated bactericidal activity of plasma proteins (including  
193 natural antibodies and complement) and phagocytic cells (Matson et al. 2006). As a measure of  
194 acquired immunity, we quantified antibody production after challenging the animals with a  
195 known antigen, LPS. These two assays are ‘challenge techniques’ (sensu Norris and Evans 2000)  
196 that force individuals to make an investment in an immune response, which some have argued  
197 are preferable to monitoring techniques (e.g., leukocyte counts, lymphoid tissue masses), which  
198 can vary quantitatively for various reasons and their interpretation as measures of  
199 immunocompetence is somewhat problematic (Norris and Evans 2000, Demas et al. 2011).

### 200 Bacterial Killing Capacity

201 A lyophilized pellet of *Escherichia coli* (ATCC# 8739 KWIK-STIK MicroBiologics Inc., Saint  
202 Cloud, MN, USA) was reconstituted according to manufacturer’s instructions and grown on  
203 tryptic-soy agar (TSA; Becton Dickinson, Sparks, MD, USA). Bacterial colonies were kept for up  
204 to one week at 4°C on agar plates; we inoculated a new plate the day before the assay was run.  
205 The day of the assay, we used the BBL Prompt Inoculation System (Becton-Dickinson) to create  
206 a bacterial stock solution containing approximately  $1.5 \times 10^8$  colony-forming units (CFU)/ml.  
207 From this, a working bacterial solution of roughly  $3 \times 10^4$  CFU/ml was generated using sterile  
208 Phosphate Buffered Saline (PBS). For this assay we used the blood from day 0, within 6 hours of  
209 collection. We diluted 4 µL of whole blood from each animal in 24 µL of sterile PBS, added 2 µL  
210 of the bacterial working solution, and then incubated for 30 min at room temperature. We

211 plated the 30  $\mu$ L onto a TSA-petri dish. Each blood sample was prepared and plated in triplicate.  
212 The number of bacteria in the initial working solution was determined from five control plates,  
213 each prepared by mixing 2  $\mu$ L of the working solution with 28  $\mu$ L of sterile PBS and plated after  
214 the 30 min incubation period. All plates were then incubated inverted at 37 deg. C overnight.  
215 The next morning we quantified the total colonies on the plates, averaged across replicates,  
216 and calculated the percentage of bacteria killed by dividing the difference in the number of  
217 colonies between control plates and experimental plates by the average number of colonies in  
218 the control plates.

#### 219 Antibody production against LPS

220 Animals were injected with LPS to induce immunoglobulin (IgG) production. Blood  
221 samples collected on days 0 and 7 were used in this assay with samples at day 0 collected to  
222 ascertain prior exposure to LPS (i.e. baseline IgG levels). Samples were kept on ice until plasma  
223 was separated via centrifugation at 6000 rpm for 20 minutes. Plasma was stored at -70°C until  
224 we conducted the assay approximately five months later. We used an enzyme-linked  
225 immunosorbent assay (ELISA) to detect (IgG) specific to LPS in plasma. Briefly, we coated 96-  
226 well plates with LPS, the plate was then blocked with 5% nonfat dry milk in PBS-T (PBS-Tween;  
227 Sigma-Aldrich, St. Louis, MO, USA), then aliquots of diluted plasma (1:40 in PBS-T) were plated  
228 in duplicate. Positive controls (a mixture of plasma collected on day 7 (126  $\mu$ L of mice (n=7) +  
229 157  $\mu$ L of chipmunks (n=5) + 157  $\mu$ L of squirrels (n=5)) and negative controls (mixture of plasma  
230 collected on day 0 (120  $\mu$ L of mice (n=4) + 161  $\mu$ L of chipmunks (n=5) + 150  $\mu$ L of squirrels  
231 (n=5)) were added to each plate in triplicate. Plates were incubated at 37°C for 3 hours, washed

232 (PBS-Tween), then secondary antibody (anti-guinea pig IgG alkaline-phosphatase conjugated  
233 antibody produced in goat, no. A5062; Sigma-Aldrich, St. Louis, MO, USA) were added to each  
234 well (1:750 diluted in PBS-Tween). Plates were incubated at 37°C for 1 hour, after which they  
235 were washed with PBS and treated with p-nitrophenyl phosphate. Exactly 20 min later, optical  
236 density (OD) of samples was assessed (405-nm filter, BioRad Benchmark microplate reader,  
237 Richmond, CA, USA). We chose a secondary antibody developed for guinea-pigs, *Cavia*  
238 *porcellus*, because this rodent is not closely related to any species in the study (Michaux and  
239 Catzeflis 2000, Blanga-Kanfi et al. 2009, Churakov et al. 2010), which we assumed would  
240 minimize species differences in IgG due to variable affinity of the antibody. We calculated  
241 antibody levels as the difference in the mean OD of each sample from the mean OD of the  
242 positive controls in each plate. We interpreted antibody levels on day 0 samples as background  
243 levels due to prior exposure and those from day 7 samples as a response to current exposure.  
244 Intra plate variation was 11.3% and inter plate variation was 20%.

#### 245 Corticosterone levels

246 We measured the levels of corticosterone (the main glucocorticoid of rodents) on a  
247 subset of individuals for which we had sufficient plasma samples collected on day 0 and day 4.  
248 This subset comprised three females and three males each of mice and squirrels, three male  
249 chipmunks, and one female chipmunk.

250 A colorimetric enzyme immunoassay kit (ADI-901-097 Enzo Life Sciences, Inc., Plymouth  
251 Meeting, PA, USA) was used to determine levels of corticosterone in plasma in accordance with  
252 the manufacturer's protocol (Kuhlman and Martin 2010). Briefly, plasma samples were thawed,

253 vortexed and diluted 1:100 with Assay Buffer 15. Steroid displacement buffer (SDB) was added  
254 and incubated for 5 min to degrade steroid binding proteins that could interfere with  
255 corticosterone binding. Due to the limited volume of plasma we obtained from mice we were  
256 not able to run optimizations for plasma dilutions and for SDB concentration (see Wada et al.  
257 2007). Samples were aliquoted into separate wells (100  $\mu$ L per well), run in duplicate, and  
258 randomized in the plate. The standard curve was produced using assay standards (32-20 ng)  
259 that were run in duplicate on each plate. We then added the conjugate and antibody, and the  
260 plate was incubated at room temperature on a plate shaker for 2 hours. The contents of the  
261 wells were then rinsed 3 times with wash buffer before an alkaline phosphatase conjugate and  
262 a p-nitrophenyl phosphatase substrate were added. The plate was then incubated for 1 hour, at  
263 room temperature, in the dark and without shaking. Finally, a stop solution was added and the  
264 plate was immediately read using a BioRad Benchmark microplate reader at 405 nm.

## 265 **Statistical Analysis**

266           Given that the BKC index ranged from 0 to 1 and that the data were highly skewed, we  
267 used a beta distribution to model the probability density function of this variable. To explain  
268 the variation in BKC we used generalized linear models that included the effect of species, sex,  
269 and their interaction. The set of candidate models also included simpler models with only the  
270 additive effect of both factors, or with a single factor. A null model with only an intercept was  
271 added to assess the explanatory power of the factors in the models. To run these models, we  
272 used the Glimmix procedure in SAS statistical software version 9.2 (SAS Institute Inc., Cary, NC,  
273 USA). Model comparison was done using the Akaike's Information Criteria corrected for small

274 sample size (AICc). If the difference in AICc between two models ( $\Delta AICc$ ) is  $< 2$ , then both  
275 models are equally good at explaining the variation in the response variable; when  $4 < \Delta AICc < 7$   
276 the model has considerably less support, and models having  $\Delta AICc > 10$  have no support from  
277 the data (Burnham and Anderson 2002).

278 To analyze differences among species and sexes in their adaptive immune response to  
279 the LPS challenge, we used likelihood models that included the effect of sex and/or species on  
280 the levels of antibodies. We ran a set of candidate models to explain the variation in  
281 background levels, in the levels observed a week after the challenge, and in the proportional  
282 change in the antibody levels between day 0 and 7 [(Antibody levels day 7 - Antibody levels day  
283 0) / Antibody levels day 0]. The flexibility of likelihood models allowed us to explicitly model the  
284 variance. As the data appeared heteroscedastic, we tested the possibility that the variance was  
285 proportional to the mean as a linear function, whether it was better modeled as a  
286 heterogeneous variance being different for every group (for species and/or sex), or whether it  
287 was constant (a single variance estimate). In the set of candidate models, we also included a  
288 null model that included no group differences. To find the maximum likelihood estimates (MLE)  
289 of the parameters of the model, we used a simulated annealing routine (Goffe et al. 1994),  
290 implemented in the program R, version 2.10.1 (R Development Core Team 2009) by L. Murphy  
291 and C. Canham as part of the Likelihood package. The strength of evidence for the MLEs was  
292 expressed by a two-unit likelihood support interval.

293 To evaluate the relationship between corticosterone levels and immune responses of  
294 the individuals, we ran linear regressions using the levels of corticosterone on either day 0 or

295 day 4, or the percent difference between day 4 and day 0 as the independent variable and the  
296 BKC or antibody production as the dependent variable. This was done in R using the data points  
297 for all the species combined and in a separate analysis for each of the species.

## 298 **RESULTS**

299 We captured a total of 59 individuals of 6 different species (Table 1). We were able to  
300 obtain a blood sample from all of them on day 0, but complete samples on days 0, 4, and 7  
301 were obtained on only 48 individuals due to early releases, escapes, and deaths. The results  
302 that follow are for the three species for which we obtained sufficient sample sizes for all  
303 analyses, i.e., white-footed mice, eastern chipmunks, and gray squirrels. Among these three  
304 species, pace of life is variable, with mice being fastest, squirrels being slowest and chipmunks  
305 being intermediate (Appendix A).

### 306 **Bacterial killing capacity**

307 We measured BKC for 51 individuals. The modeling approach indicated that species  
308 identity was important in explaining the variation in BKC. All the models that contained the  
309 effect of species received high support (Table 2). Differences between sexes also received some  
310 support; the explanatory power of the interaction term between sex and species was driven by  
311 differences between sexes in chipmunks but not in the other two species. However, the simpler  
312 model with the effect of species alone was equally good at explaining the variation in BKC  
313 ( $\Delta AICc < 2$  between model 3 and 1; Table 2). The least squares mean estimates predicted by the



314 species only model indicate that mice have a BKC against *E. coli* that is twice as high as that of  
315 the two sciurid species (Figure 1).

### 316 **Antibody production**

317 We were able to collect IgG data for 34 individuals. The results indicate that species but  
318 not sexes differed in background levels of LPS-antibodies (Table 3). Background antibody levels  
319 were low in mice, intermediate in chipmunks, and high in squirrels (Figure 2A). The variance  
320 was best modeled as a linear function of the mean ( $s = d \times \mu$ ; MLE of  $d$  (S.I.) = 0.033(0.027-  
321 0.044)).

322 Species responded differently to the immunological challenge. The results from  
323 modeling the data on antibody levels on day 7 support species but not sex differences (Table 3).  
324 Similar to the pattern of the background levels, squirrels were also the ones with the highest  
325 antibody levels on day 7 (Figure 2B), and the variance was also best estimated as a linear  
326 function of the mean (MLE of  $d = 0.049$  (0.040-0.065)). When modeling the proportional change  
327 of antibody levels after the challenge as the response variable the most parsimonious model  
328 was again the one with only species differences and a variance proportional to the mean  
329 (Model 19, Table 3). Again, squirrels were the species with the strongest adaptive immune  
330 response (Figure 2C). The estimated slope of the relationship between the variance and the  
331 mean was 0.90 (0.721-1.190).

## 332 **Corticosterone levels**

333           The levels of corticosterone were an order of magnitude higher in mice than in the  
334 other 2 species pre-LPS (Figure 3). Male and female squirrels showed opposite directions in the  
335 change of corticosterone levels during the first four days of the study; whereas males  
336 decreased their levels, females increased them (Figure 3). The other two species did not show  
337 noticeable differences between day 0 and 4 (Figure 3). For mice only, we found that the level of  
338 corticosterone in the blood on day 0 had a significant negative relationship with BKC (Linear  
339 least squares regression coefficient = -0.001, SE = 0.0005; Figure 4A). The BKC of other two  
340 species individually or of all species combined was not affected by corticosterone levels on day  
341 0 ( $p > 0.05$  for all linear regressions). On the other hand, the change in the levels of LPS-  
342 antibodies from day 0 to day 7 was not affected by corticosterone levels on day 0, day 4 or by  
343 the difference between day 0 and day 4 for individual species or all combined ( $p > 0.05$  for all  
344 linear regressions; Figure 4B,C, and D, respectively).

## 345 **DISCUSSION**

346           Maximizing adaptive immunity may involve a trade-off in investment in innate immunity  
347 (Lee 2006, Martin et al. 2007, Allen et al. 2009, Forsman et al. 2008). The ecoimmunological  
348 pace of life hypothesis argues that slow-living species should invest relatively more in the  
349 adaptive arm of the immune system, even though it is more developmentally costly, because  
350 repeated pathogen exposures are expected over a long life span. On the other hand, fast-living  
351 species are expected to rely more strongly on the innate immune system to minimize  
352 developmental costs that can be allocated instead to rapid reproduction and to facilitate rapid

353 clearance or resistance of an infection upon first encounter (Martin et al. 2008b). We analyzed  
354 immune strategies of wild-caught individuals from rodent species that vary in life history  
355 features.

356 Our results support the pace of life hypothesis. We found that adaptive immunity, as  
357 measured by the antibody response to the lipopolysaccharide (LPS) challenge, was greatest in  
358 squirrels, intermediate in chipmunks, and lowest in mice; whereas bacterial killing capacity, our  
359 measure of innate immunity, had the opposite rank order. These results suggest that squirrels  
360 can display a strong antibody response that not only may be very effective due to its specificity,  
361 but also will confer them protection against future exposures to the same pathogen, which is  
362 more likely in this relatively long-lived species. In contrast, perhaps due to immunological trade-  
363 offs, squirrels showed the weakest bacterial killing capacity.

364 These findings are consistent with other studies that tested an association between  
365 pace of life and immune responses. For instance, Sparkman and Palacios (2009) noted greater  
366 constitutive innate response in the fast-living ecotype of garter snakes (*Thamnophis elegans*)  
367 than in the slow-living ecotype, in agreement with the idea that fast-living organisms would rely  
368 more on inexpensive immune defenses. Supporting evidence for a greater investment in costly  
369 immune defenses by slow-living species comes from the study by Tella et al. (2002), which  
370 found stronger cell-mediated immunity in bird species with slow-living traits compared to fast-  
371 living species. In contrast, Martin et al. (2001) observed an increase in cell-mediated immunity  
372 with increasing clutch size (a fast-living trait). Even though cell-mediated immunity is  
373 considered a costly response, it is also generally accompanied by the production of  
374 inflammatory cytokines which carry a high risk of autoimmune damage; long-lived species may

375 prevent the accumulation such damage by using antibody-mediated defenses over  
376 inflammatory responses (Lee 2006). Martin et al. (2006) found that the fast-living population of  
377 house sparrows had stronger cell-mediated immunity and also produced higher antibodies  
378 levels (although they showed a slower response to secondary immune challenges) than the  
379 slow-living birds. Likewise, in disagreement with the pace of life hypothesis Cutrera et al.  
380 (2010), who studied the response to an immunological challenge in Tuco-tucos (*Ctenomys*  
381 *talarum*), noted that the antibody titres produced by this slow-living rodent species were very  
382 low in comparison to those reported for fast-living rodents. Additional evidence conflicting with  
383 the predictions of the pace-of-life hypothesis comes from Tieleman et al. (2005), who found  
384 that tropical bird species with a slower pace-of-life have greater innate immunity than their  
385 fast-living counterparts.

386 Conflicting evidence regarding the pace of life hypothesis may be due to three potential  
387 causes: First, the taxonomic breadth of these studies varies from intraspecific, to intrageneric,  
388 to intergeneric comparisons, and it is possible that the patterns of immunity along the fast-slow  
389 axis may not be consistent across taxonomic levels. Second, the variability in immune strategies  
390 is difficult to interpret from single immune measures because trade-offs between different  
391 immune components may constrain investment in each defense mechanism (Lee 2006). Martin  
392 et al. (2007) observed a trade-off between innate and adaptive immunity among species in the  
393 genus *Peromyscus*. They found that species possess either a strong ability to kill bacteria *in*  
394 *vitro* or a strong capacity to produce antibodies when challenged, but not both. Finally, a third  
395 potential reason for the mixed support for the pace of life hypothesis is that finding  
396 immunological assays that reduce or eliminate taxonomic biases is difficult. For example, we

397 used an anti-guinea pig IgG antibody because quantitative differences among species in IgG  
398 titer could vary due to affinity alone if a murine antibody was selected. Whereas the optimal  
399 solution would have been to use antibodies specific to IgG for each host species, such tools are  
400 commercially unavailable. Nevertheless, our study provides support for the existence of the  
401 relationship between immune strategy and life history based on three rodent species that are  
402 not particularly closely related within the Rodentia (families Muridae and Sciuridae), nor are  
403 they closely related to guinea pigs (family Caviidae) (Michaux and Catzeflis 2000, Blanga-Kanfi  
404 et al. 2009, Churakov et al. 2010), the species for which the secondary antibodies used in the  
405 ELISAs were developed. In our study, the differences in immune responses were pronounced:  
406 mice were estimated to kill twice as many bacterial colonies as squirrels or chipmunks, and the  
407 change in antibody levels was two times greater in squirrels than in the other two species.

408         It is possible that our results were affected by the influence of stress due to trapping  
409 and captivity on immune function. But it is difficult to predict how these stressors would affect  
410 the immune responses that we measured. This is because species can differ in their stress  
411 responses to being trapped, to the time spent in the trap (Romero et al. 2008, Delehanty and  
412 Boonstra 2009), and / or to captivity (Kuhlman et al. 2010, Martin et al. 2011); but they can also  
413 differ in how their immune system responds to stress (Martin 2009). In general, mice were in  
414 the traps for longer periods of time than chipmunks or squirrels. Our measures of levels of  
415 corticosterone on plasma revealed that mice with high concentrations of circulating  
416 corticosterone on the first day of the experiment had reduced bacterial killing capacity. If these  
417 initial levels of corticosterone are a response to trapping stress, likely perceived as an acute  
418 stress, then high corticosterone levels should have had immunoenhancing effects (Sapolsky et

419 al. 2000, Dhabhar 2002, Martin 2009). Perhaps the corticosterone concentrations that we  
420 measured are instead a reflection of different experiences by individuals in the field. On the  
421 other hand, of the three studied species we noted that mice had the highest levels of  
422 corticosterone in plasma, yet these species differences have to be interpreted cautiously  
423 because an optimization of the assay was not possible.

424         Another factor that could influence the relationship between the pace-of-life and  
425 immunity detected in free-ranging animals is the number and types of pathogens encountered  
426 at ecological and evolutionary time scales (Lee 2006, Martin et al. 2007). Differences in  
427 pathogen exposures are difficult to measure, but we can expect them to be influential if the  
428 species differ significantly in their social organization (Altizer et al. 2003, Nunn et al. 2003),  
429 feeding habits (Blount et al. 2003), mating system (Nunn 2002, Nunn et al. 2003, Ezenwa et al.  
430 2006), habitat association (Piersma et al. 1997), substrate use (Nunn et al. 2003), abundance  
431 (Arneberg et al. 1998, Ezenwa et al. 2006), and / or body size (Poulin 1995, Ezenwa et al. 2006).  
432 The three species that we studied are very similar in social organization (mostly solitary with  
433 some seasonal sociality), diet (granivorous-omnivorous), mating system  
434 (promiscuous/polygynous), habitat association (mixed deciduous forests), and substrate use  
435 (terrestrial to semi-arboreal) (Whitaker and Hamilton 1998). They differ in body size and  
436 average abundance (Appendix A), but these are strong correlates of the pace of life. Therefore,  
437 both pace of life and history of pathogen exposure might be responsible for the different  
438 immune strategies we observed.

439         Prior and current infections are likely important sources of the large individual variation  
440 evident in the immune responses that we recorded (Jolles et al. 2008, Pedersen and Fenton

441 2007). This is not surprising given that we studied wild animals instead of laboratory-raised  
442 individuals. Our goal was not to try to decouple the immune responses from past and current  
443 infections but rather to understand them in the natural context in which they occur. Even with  
444 the variation that different exposures may have generated we still detected substantial species  
445 differences and they were in agreement with our predictions that immune strategies would be  
446 associated with the pace of life.

447 Another important factor that can impact the species immune function is their  
448 reproductive status (Lee 2006). Our study was conducted early in the fall, when mice are still  
449 showing signs of reproductive activity, but squirrels and chipmunks are no longer breeding.  
450 Therefore, it is possible that the species immune responses were affected by the different stage  
451 in the breeding season in which the sampling took place. However, we believe that we have  
452 minimized this confounding factor by not including reproductive females, for whom the  
453 reproductive costs would have been the greatest.

454 Future research should focus on both improving our ability to predict immune strategies  
455 from life-history data and understanding the consequences of different immune strategies.  
456 There is some evidence that species' reservoir competence is highly influenced by their immune  
457 portfolio (e.g., Kurtenbach et al. 2002, 2006). If immune strategy predicts reservoir  
458 competence, then we can use information on the life-history traits of the species in a  
459 community to hypothesize the role they may play as reservoir for diseases. Measuring roles in  
460 disease transmission is difficult and time consuming, whereas data on life-history parameters is  
461 sometimes more readily available; thus, a deep understanding of the natural history of the  
462 species might be predictive. For instance, by understanding the connections between life

463 history, immunity, and reservoir competence, we could make predictions on how a disturbance  
464 that alters the composition of the host community could influence disease risk and dynamics,  
465 depending on whether it favors fast-lived or slower-lived species (Keesing et al. 2010).  
466 Understanding patterns of immunity in host species with different paces of life represents a  
467 first step in connecting life history, immunity, and reservoir competence. This is crucial, because  
468 when faced with accelerating emergence of infectious diseases at a global scale, we need  
469 shortcuts to predicting which species are going to be key players in transmitting pathogens in  
470 novel ecological contexts. However, pace of life is just one axis in a predictive framework that  
471 will clearly need to include multiple host and pathogen characteristics to be of concrete  
472 practical use.

473

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485

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616 Supplementary material (Appendix o20215 at <[www.oikosoffice.lu.se/appendix](http://www.oikosoffice.lu.se/appendix)>).

617 Appendix A.



618 **Table 1:** Number of individuals sampled in each sex and species.

|        | white-footed<br>mice<br><i>(Peromyscus<br/>leucopus)</i> | southern flying<br>squirrels<br><i>(Glaucomys<br/>volans)</i> | eastern<br>chipmunks<br><i>(Tamias<br/>striatus)</i> | red squirrels<br><i>(Tamiasciurus<br/>hudsonicus)</i> | eastern gray<br>squirrels<br><i>(Sciurus<br/>carolinensis)</i> | woodchucks<br><i>(Marmota<br/>monax)</i> | Total |
|--------|--|---|--|---|--|--|-------|
| Female | 8  | 2   | 9  | 1   | 4  | 2  | 26    |
| Male   | 10   | 0   | 15   | 1   | 7  | 0  | 33    |
| Total  | 18   | 2   | 24   | 2   | 11   | 2  | 59    |

619 **Table 2:** Generalized linear models for explaining the variation in bacteria killing capacity  
 620 against *E. coli*. The response variable is the proportion of bacteria colonies killed by the blood of  
 621 the animals in the study, modeled as a function of species and sex using a beta distribution.  $AIC_c$   
 622 = Akaike information criteria corrected for small sample size;  $\Delta AIC_c$  = difference in  $AIC_c$  value  
 623 between the  $AIC_c$  for the model given in that row and the most parsimonious model (lowest  
 624  $AIC_c$ , bolded).

625

| Model no. | Model                | No. of parameters | $AIC_c$       | $\Delta AIC_c$ | Akaike's weight |
|-----------|----------------------|-------------------|---------------|----------------|-----------------|
| 1         | <b>Species * Sex</b> | <b>13</b>         | <b>-69.14</b> | <b>0</b>       | <b>0.47</b>     |
| 2         | Species              | 5                 | -68.27        | 0.87           | 0.31            |
| 3         | Species + Sex        | 7                 | -67.48        | 1.66           | 0.21            |
| 4         | Sex                  | 4                 | -60.49        | 8.65           | 0.01            |
| 5         | Intercept            | 2                 | -60.63        | 8.51           | 0.01            |

626 **Table 3:** Likelihood models used to investigate the differences among species and sexes on  
627 background levels of LPS-specific antibodies, on the levels attained a week after an  
628 immunological challenge, and on the change in antibody levels between day 0 and 7. The  
629 variance was modeled as proportional to the mean (linear function), or as a heterogeneous  
630 variance (different for every group), or as a constant (single estimate). The set of candidate  
631 models also includes a null model with no group differences.  $AIC_c$  = Akaike information criteria  
632 corrected for small sample size;  $\Delta AIC_c$  = difference in  $AIC_c$  value between the  $AIC_c$  for the model  
633 given in that row and the most parsimonious model (lowest  $AIC_c$ , bolded).

|  | Model    | No. of                               |                                      |                  | Akaike's          |             |
|--|----------|--------------------------------------|--------------------------------------|------------------|-------------------|-------------|
|  | no.      | Model                                | param.                               | AIC <sub>c</sub> | ΔAIC <sub>c</sub> | weight      |
|  | <b>1</b> | <b>Species proportional variance</b> | <b>4</b>                             | <b>92.7</b>      | <b>0</b>          | <b>0.86</b> |
| Background<br>antibody<br>levels<br>(day 0)                | 2        | Species heterogenous variances       | 6                                    | 97.2             | 4.5               | 0.09        |
|  | 3        | Species*Sex proportional variance    | 7                                    | 98.3             | 5.6               | 0.05        |
|  | 4        | Species constant variance            | 4                                    | 105.5            | 12.8              | 0           |
|  | <b>5</b> | <b>Species*Sex constant variance</b> | <b>7</b>                             | <b>111.7</b>     | <b>19</b>         | <b>0</b>    |
|  | 6        | Species*Sex heterogenous variances   | 12                                   | 119.3            | 26.6              | 0           |
|  | 7        | Null model                           | 2                                    | 120.9            | 28.2              | 0           |
|  |          | <b>8</b>                             | <b>Species proportional variance</b> | <b>4</b>         | <b>182.1</b>      | <b>0</b>    |
| Antibody<br>response<br>(day 7)                            | 9        | Species heterogenous variances       | 6                                    | 187.9            | 5.8               | 0.05        |
|  | 10       | Species*Sex proportional variance    | 7                                    | 191.1            | 9                 | 0.01        |
|  | 11       | Species constant variance            | 4                                    | 199.2            | 17.1              | 0           |
|  | 12       | Species*Sex constant variance        | 7                                    | 206.3            | 24.3              | 0           |
|  | 13       | Species*Sex heterogenous variances   | 12                                   | 212.3            | 30.2              | 0           |
|  | 14       | Null model                           | 2                                    | 216.6            | 34.5              | 0           |
|  |          | <b>15</b>                            | <b>Species proportional variance</b> | <b>4</b>         | <b>136.7</b>      | <b>0</b>    |
| Change in<br>antibody<br>levels<br>(day 7-day<br>0) /day 7 | 16       | Species*Sex proportional variance    | 7                                    | 138.5            | 1.9               | 0.26        |
|  | 17       | Species heterogenous variances       | 6                                    | 140.7            | 4                 | 0.09        |
|  | 18       | Species constant variance            | 4                                    | 148              | 11.3              | 0           |
|  | 19       | Null model                           | 2                                    | 149.7            | 13.1              | 0           |
|  | 20       | Species*Sex heterogenous variances   | 12                                   | 149.9            | 13.2              | 0           |
|  | 21       | Species*Sex constant variance        | 7                                    | 153.8            | 17.2              | 0           |

635 Figure legends

636 **Figure 1.** Least square means estimates (and 95% CI) of the species bacteria killing capacity  
637 against *E. coli* predicted by the generalized linear model that included only species differences.

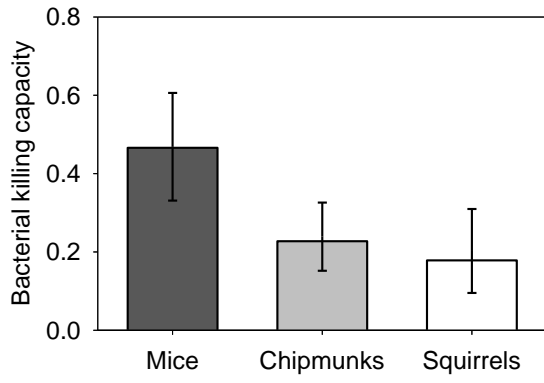
638 **Figure 2.** Maximum likelihood parameter estimates predicted by the most parsimonious models  
639 for LPS-specific antibody levels detected on samples collected on (A) day 0 (background levels,  
640 in absorbance units (AU)) or on (B) day 7 (response to LPS challenge, in AU), and (C) their  
641 proportional change (day 7 – day 0) / day 0. Error bars are lower and upper likelihood support  
642 intervals.

643 **Figure 3.** Observed changes in corticosterone levels in blood (mean  $\pm$  1 S.E.) collected on day 0  
644 and on day 4 for females (circles) and males (triangles) of (A) mice, (B) Chipmunks, and (C)  
645 Squirrels.

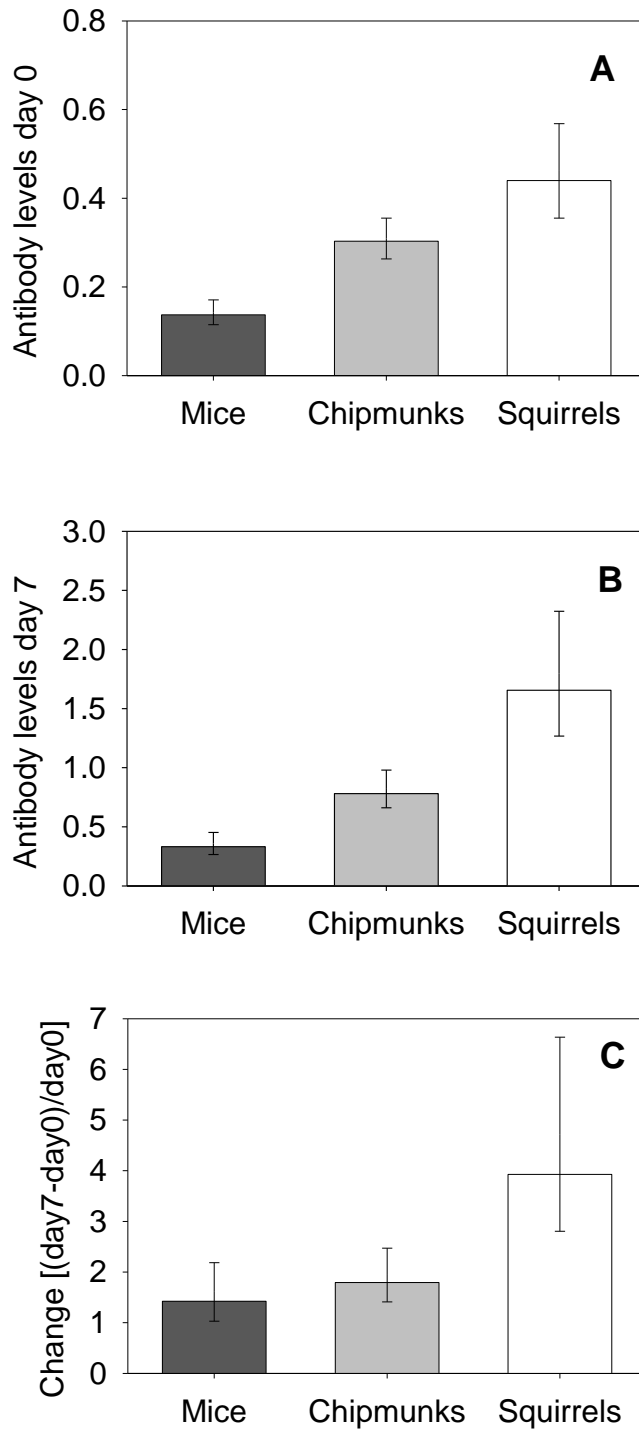
646 **Figure 4.** Relationship between corticosterone levels in blood and immunological responses: (A)  
647 Bacterial killing capacity, (B-D) change in LPS-antibody levels. Showing individual samples  
648 corresponding to females (circles) and males (triangles) of mice (dark gray), chipmunks (gray),  
649 and squirrels (white).

650

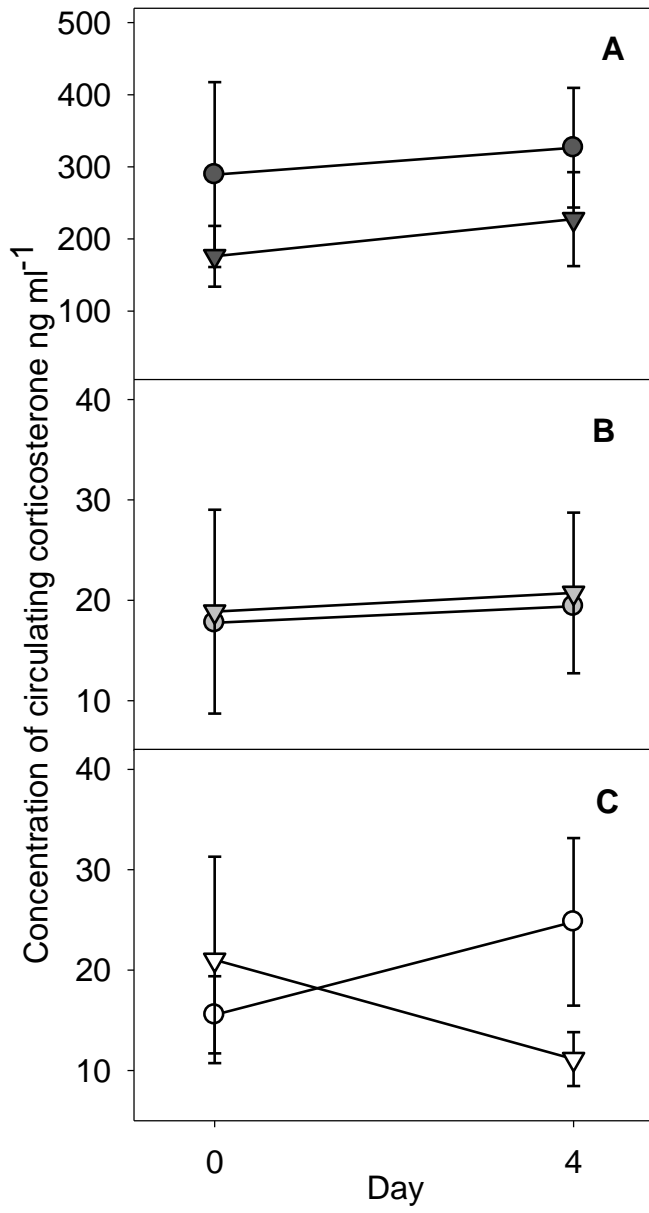
651 **Figure 1**



652 **Figure 2**



653 **Figure 3**





654 **Figure 4**

